

01-29-01 A

EXPRESS MAIL CERTIFICATE

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

| | | | |
|-----------------------|--------------------|------------------|-------------------|
| Typed or Printed Name | MARGARET D. PIERCE | Express Mail No. | EL 563 388 364 US |
| Signature | <i>[Signature]</i> | Date | January 25, 2001 |

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|----------------------|---|
| CUSTOMER NO. | 24353 |
| Docket No. | 6510-173US1 |
| First Named Inventor | Raz, et al. |
| Title: | Inhibitors of DNA Immunostimulatory Sequence Activity |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

Assistant Commissioner for Patents
Address to: Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
2. ☒ Specification Total Pages 36
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets 05
4. ☒ Oath or Declaration Total Sheets 02
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 16 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
 - c. ☐ Unsigned

5. ☐ Microfiche Computer Program (Appendix)
6. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☒ Computer Readable Copy (in prior application)
 - b. ☒ Paper Copy (identical to computer copy)
 - c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☐ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Small Entity ☒ Statement filed in prior application
Statement(s) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other:

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

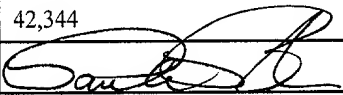
☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09/092,314, filed June 5, 1998, which application claims the benefit of Provisional Application No. 60/048,794, filed June 6, 1997.

UTILITY PATENT APPLICATION TRANSMITTAL
 (Only for new non-provisional applications under 37 CFR 1.53(b))

17. CORRESPONDENCE ADDRESS

| | | | |
|-------------------------|---------------------------------|------------------|----------------|
| Individual Name | Paula A. Borden | | |
| Firm Name | BOZICEVIC, FIELD & FRANCIS LLP | | |
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SIGNATURE of Applicant or Assignee of Record

| | | | |
|-------------------------|---|--|--|
| Individual Name | Paula A. Borden | | |
| Registration No. | 42,344 | | |
| Signature |  | | |
| Date | Jan. 25, 2001 | | |

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FEE TRANSMITTAL

Note: Effective October 1, 1998.
Patent fees are subject to annual revision.

CUSTOMER NO.**24353****Attorney Docket Number****6510-173CON****First Named Inventor****Raz, et al.****Application Number****N/A****Filing Date****Herewith****Group Art Unit****N/A****Examiner Name****N/A**

METHOD OF PAYMENT

1. ☐ A Check Is Enclosed2. ☒ The Commissioner is hereby authorized to charge the following and any additional fees including fees required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field & Francis LLP

FEE CALCULATION

1. FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|-----------------|----------------|-----------------|------------------------|---------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$ |
| 102 | 320 | 206 | 160 | Design filing fee | |
| 104 | 490 | 207 | 245 | Plant filing fee | |
| 109 | 710 | 208 | 355 | Reissue filing fee | |
| 110 | 150 | 214 | 75 | Provisional filing fee | |
| Subtotal (1) | | | | | \$355 |

2. CLAIMS

| No. of claims as filed or after amendment | Most claims previously paid | Extra claims | Fee from below | Fee Due |
|---|-----------------------------|--------------|----------------|---------|
| Total claims | 55 | 20 | 35 | \$315 |
| Ind. claims | 13 | 3 | 10 | \$400 |
| Multiple Dependent claims | | | | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description |
|----------------|-----------------|----------------|-----------------|--|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim |
| 109 | 80 | 209 | 40 | Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | Reissue claims in excess of and over original patent |

Subtotal (2) \$715

3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Due |
|----------------|---------------------|----------------|-----------------|--|---------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 115 | 110 | 215 | 55 | Ext. for reply within first month | |
| 117 | 890 | 217 | 445 | Ext. for reply within third month | |
| 128 | 1,890 | 228 | 945 | Ext. for reply within fifth month | |
| 120 | 310 | 220 | 155 | Filing brief in support of appeal | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 123 | 50 | 123 | 50 | Petitions related to prov. appl. | |
| 146 | 710 | 246 | 355 | Filing submission after final rejection (37 CFR 1.129(a)) | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 581 | 40 | 581 | 40 | Recording patent assignment | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 147 | 2,520 | 147 | 2,520 | Filing a request for reexamination | |
| 116 | 390 | 216 | 195 | Ext. for reply within second month | |
| 118 | 1,390 | 218 | 695 | Ext. for reply within fourth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 126 | 180 | 126 | 180 | Submission of IDS | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 113 | 1840* | 113 | 1840* | Requesting publication of SIR after Examiner action | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 40 | Other fee (specify) | | | | |

SUBTOTAL (3) \$40.00

TOTAL AMOUNT TO BE CHARGED (\$ 755.00)

SUBMITTED BY

Typed or Printed Name

Carol L. Francis, BOZICEVIC, FIELD & FRANCIS LLP

Complete (if applicable)

Reg. Number

36,513

Signature

Date

Jan 25, 2007

Deposit Account

50-0815